

# The Role of Ligand-Field States in the Ultrafast Photophysical Cycle of the Prototypical Iron(II) Spin-Crossover Compound $[\text{Fe}(\text{ptz})_6](\text{BF}_4)_2^{**}$

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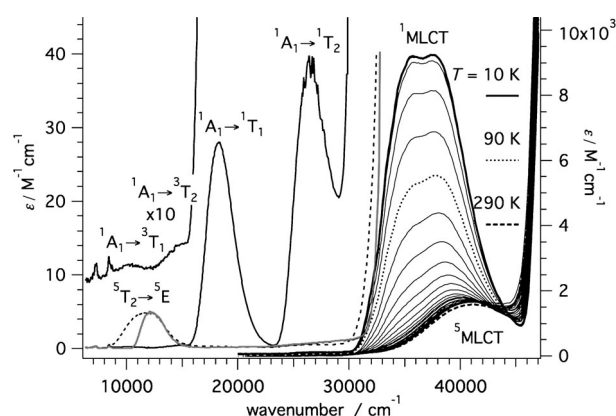
**Abstract:** Light-induced excited spin-state trapping (LIESST) in iron(II) spin-crossover compounds, that is, the light-induced population of the high-spin ( $S=2$ ) state below the thermal transition temperature, was discovered thirty years ago. For irradiation into metal–ligand charge transfer (MLCT) bands of the low-spin ( $S=0$ ) species the acknowledged sequence takes the system from the initially excited  $^1\text{MLCT}$  to the high-spin state via the  $^3\text{MLCT}$  state within ca. 150 fs, thereby bypassing low-lying ligand-field (LF) states. Nevertheless, these play a role, as borne out by the observation of LIESST and reverse-LIESST on irradiation directly into the LF bands for systems with only high-energy MLCT states. Herein we elucidate the ultrafast reverse-LIESST pathway by identifying the lowest energy  $S=1$  LF state as an intermediate state with a lifetime of 39 ps for the light-induced high-spin to low-spin conversion on irradiation into the spin-allowed LF transition of the high-spin species in the NIR.

In 1982 McGarvey and Lawthers reported that the equilibrium between the spin states of iron(II) spin-crossover complexes in solution at around room temperature can be photophysically perturbed by irradiation into the intense spin- and parity-allowed metal–ligand charge-transfer ( $^1\text{MLCT}$ ) absorption bands of the low-spin (LS) species.<sup>[1]</sup> This result was followed by the discovery of light-induced excited spin-state trapping (LIESST) in the solid state, that is, the population of the high-spin (HS) state as a long-lived metastable state at low temperature upon irradiation into the above mentioned  $^1\text{MLCT}$  bands as well as into ligand-field (LF) bands.<sup>[2]</sup> Initially, these effects served to study the  $\text{HS}(^5\text{T}_2(t_{2g}^4 e_g^2)) \rightleftharpoons \text{LS}(^1\text{A}_1(t_{2g}^6))$  relaxation dynamics in solution<sup>[3]</sup> as well as in the solid state, where cooperative effects are of interest.<sup>[4]</sup>

Ultrafast pump–probe spectroscopy including structural probes on iron(II) spin-crossover<sup>[5]</sup> and low-spin complexes<sup>[6]</sup>

in solution with low-lying MLCT states showed that the double intersystem crossing from the initially excited  $^1\text{MLCT}$  state to the HS state occurs with quantum efficiencies near unity, takes only about 150 fs, and proceeds via the  $^3\text{MLCT}$  state, thus bypassing the low-lying singlet and triplet LF states. For irradiation into the  $^1\text{MLCT}$  bands, Chang et al.<sup>[7]</sup> laid the theoretical base for this, and Collet et al.<sup>[8]</sup> showed that at short delays this sequence of events is also valid for the solid state, that, however, owing to the elastic interactions, lattice dynamics cause important after effects. In a recent study on a HS complex in solution at room temperature, Gallé et al.<sup>[9]</sup> showed that upon irradiation into the weaker  $^5\text{MLCT}$  band, the LS state becomes likewise populated through MLCT intersystem crossing.

The role of LF states in transition-metal photophysics of  $d^6$  systems such as ruthenium(II) and iron(II) complexes is nevertheless crucial, and that they are by no means innocent is borne out by the discovery of LIESST upon irradiation into the spin-allowed LF absorption bands of the LS species of the spin-crossover compound  $[\text{Fe}(\text{ptz})_6](\text{BF}_4)_2$  (ptz = 1-propyltetrazole), which has no low-energy MLCT states.<sup>[4]</sup> In Figure 1 the spin-allowed and the spin-forbidden LF transitions of both the LS and the HS species are assigned. This study also revealed that the thermal relaxation from the trapped HS state sets in at around 50 K, and that at 10 K the trapped system can be pumped back to the LS state by irradiation into the spin-allowed LF band of the HS species in the near IR (reverse LIESST).<sup>[4]</sup>

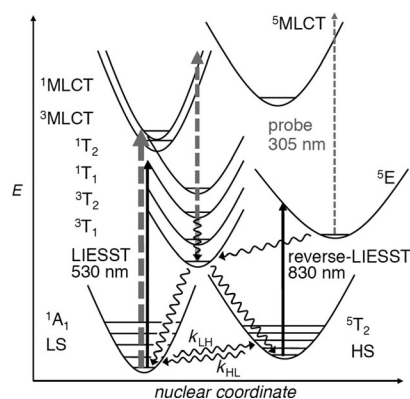


**Figure 1.** Single-crystal absorption spectra of  $[\text{Fe}(\text{ptz})_6](\text{BF}_4)_2$  at 10 K (—), 290 K (---), and after irradiation at 530 nm (—) with the assignment of LF transitions in the LS and the HS state (left axis; adapted from Ref. [4]). Variable temperature (10 K intervals) absorption spectra of  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x=0.01$ , in the region of the MLCT transitions (right axis).

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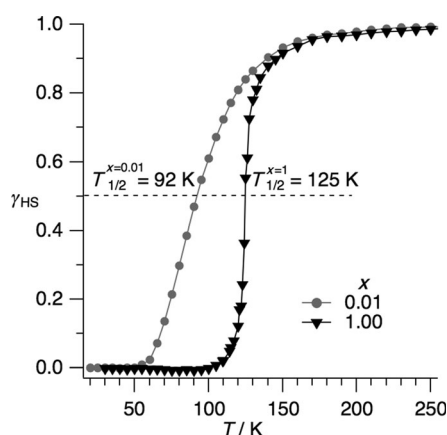
[\*\*] This work was financially supported by the Swiss National Science Foundation (Grant No. 200020\_137567), the CNRS, Région Bretagne, the ANR (09-BLAN-0212), and Europe (FEDER). ptz = 1-propyltetrazole.



**Figure 2.** Electronic structure of iron(II) spin-crossover compounds with only high-energy MLCT states. LIESST ( $\lambda_{\text{ex}} = 530$  nm) and reverse-LIESST ( $\lambda_{\text{ex}} = 830$  nm) through the LF states as in  $[\text{Fe}(\text{ptz})_6](\text{BF}_4)_2$  are indicated by curly arrows. The 305 nm probe (---) monitors the MLCT transitions.

Based on the experimentally determined values of the overall quantum efficiencies of LIESST and reverse-LIESST at 10 K of 0.8 and 0.1, respectively, in  $[\text{Fe}(\text{ptz})_6](\text{BF}_4)_2$ , and the fact that LIESST is also observed on irradiation directly into the spin-forbidden transitions of the LS species, the scheme for the different light-induced processes in Figure 2 was proposed, and a value of 4:1 was estimated for the  $^3\text{T}_1 \rightarrow ^5\text{T}_2 / ^3\text{T}_1 \rightarrow ^1\text{A}_1$  branching ratio at 10 K.<sup>[4]</sup>

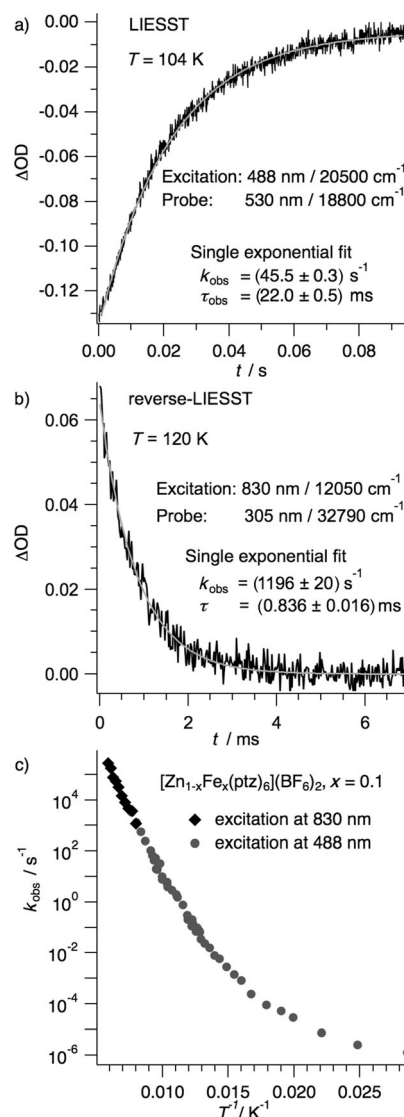
For the title compound, the near IR and visible spectral region is thus dominated by the weak LF transitions, the rise to the intense MLCT bands being observed only above  $30000\text{ cm}^{-1}$ . The intense MLCT bands can be conveniently studied in a dilute mixed crystal of composition  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.01$ . The corresponding absorption spectrum, included in Figure 1, is strongly temperature dependent. The intense low-temperature band with the absorption maximum at lower energy can be readily assigned to the  $^1\text{MLCT}$  transition of the LS species. As the thermal population of the HS state sets in, it loses intensity, until at room temperature it is entirely replaced by the weaker  $^5\text{MLCT}$



**Figure 3.** Spin transition curves for  $[\text{Fe}(\text{ptz})_6](\text{BF}_4)_2$  in the crystallographic high-temperature phase ( $\blacktriangledown$ ), and in  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.01$  ( $\bullet$ ).

band of the HS species centered at slightly higher energy. The intensity of the  $^1\text{MLCT}$  band at the band maximum can thus be used to monitor the spin state. Figure 3 shows the thermal spin-transition curves, plotted as the HS fraction  $\gamma_{\text{HS}}$  versus  $T$ , with a gradual transition around  $T_{1/2} = 92$  K for the dilute system and at  $T_{1/2} = 125$  K for the high-symmetry crystallographic phase<sup>[10]</sup> of the neat title compound. The curve for the neat system is much steeper as a result of cooperative effects.<sup>[4]</sup>

LIESST and reverse-LIESST can be used to record HS  $\rightleftharpoons$  LS relaxation curves as shown in Figure 4a,b for irradiation at 488 nm ( $20500\text{ cm}^{-1}$ ) and 104 K, and at 830 nm ( $12050\text{ cm}^{-1}$ ) and 120 K for  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.1$ , respectively. For the irradiation at 488 nm, the bleaching of the first spin-allowed LF transition of the LS

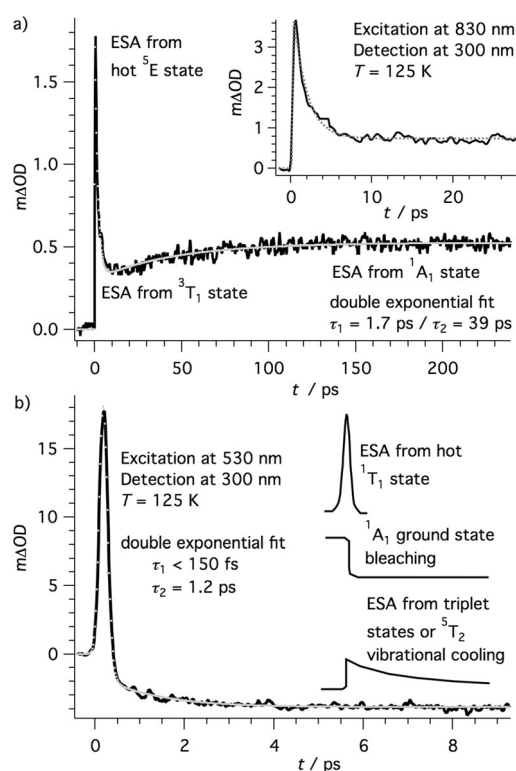


**Figure 4.** The HS  $\rightleftharpoons$  LS relaxation in  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.1$ : a) transient absorption at 530 nm for  $\lambda_{\text{ex}} = 488$  nm at 104 K (LIESST); b) transient absorption at 305 nm for  $\lambda_{\text{ex}} = 830$  nm and 120 K (reverse-LIESST); c) observed relaxation rate constant  $k_{\text{obs}} = k_{\text{HL}} + k_{\text{LH}}$  vs.  $T^{-1}$  on a logarithmic scale, ( $\bullet$ )  $\lambda_{\text{ex}} = 488$  nm (taken from Ref. [4]) and ( $\blacklozenge$ )  $\lambda_{\text{ex}} = 830$  nm.

species at 530 nm ( $18800\text{ cm}^{-1}$ ) was monitored. For the irradiation at 830 nm, the transient absorption of the  $^1\text{MLCT}$  band at 305 nm ( $32790\text{ cm}^{-1}$ ) allowed for a sensitive detection of the light-induced LS population. Figure 4c shows the observed  $\text{HS} \rightleftharpoons \text{LS}$  relaxation rate constant  $k_{\text{obs}} = k_{\text{HL}} + k_{\text{LH}}$  on a logarithmic scale against  $T^{-1}$  determined by LIESST below 120 K and by reverse-LIESST from 110 to 180 K in the same system. Above 160 K, the quantum efficiency for reverse-LIESST rapidly drops to zero. The observed relaxation rate constant spans 12 orders of magnitude in the experimentally accessible temperature range. At high temperature the relaxation is thermally activated, whereas at low temperatures it tends towards a quantum-mechanical tunneling process with  $k_{\text{obs}} = k_{\text{HL}}(T \rightarrow 0) < 10^{-6}\text{ s}^{-1}$ .<sup>[4]</sup>

From the above results, the optimal conditions for ultrafast pump-probe experiments can be assessed. These experiments were thus performed at 125 K on a mixed crystal with  $x = 0.1$ . This temperature was specifically chosen because at 125 K the equilibrium HS fraction is approximately 85 %, and the reverse-LIESST quantum efficiency is still reasonably high, so that pumping at 830 nm can be expected to be as effective as possible. At the same time the  $\text{HS} \rightleftharpoons \text{LS}$  relaxation occurs within approximately 0.3 ms allowing the full repetition rate of 1 kHz of the laser system to be used so as to make use of efficient signal averaging.<sup>[8c]</sup> For the comparatively high value of  $x$ , a very small light-induced LS fraction gives rise to a sizeable signal at the maximum of the intense  $^1\text{MLCT}$  absorption. Thus the low absorption cross section at the excitation wavelength is compensated by the high concentration and the sensitivity to the transient population of the LS state at the probe wavelength of 300 nm. At 125 K the low-spin fraction is still 15 %, thus the same experimental conditions can be maintained for LIESST irradiation at 530 nm.

Figure 5a shows the kinetic trace of  $\Delta\text{OD}(t)$  at 300 nm after 100 fs irradiation of  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.1$ , at 830 nm, that is, into the spin-allowed  $^5\text{T}_2 \rightarrow ^5\text{E}$  LF transition of the HS state ( $\epsilon_{830} = 5\text{ M}^{-1}\text{ cm}^{-1}$ ). Immediately following the excitation pulse, a sharp and strong transient absorption peak is observed, which decays with a time constant of  $\tau_1 = 1.7(2)\text{ ps}$  to a minimum, from which the absorption increases again with a time constant  $\tau_2 = 39(3)\text{ ps}$ . The plateau reached at the end of the rise does not decay within the range of the ultrafast setup. It thus corresponds to the excited state absorption (ESA) of the light-induced population of the LS( $^1\text{A}_1$ ) state decaying with the above mentioned time constant of 0.3 ms. The 39 ps of the rise to the plateau therefore correspond to the building up of the population of the LS( $^1\text{A}_1$ ) state. The instrumental response function (IRF) of the setup is 150 fs, the decay of the initial signal within 1.7 ps is therefore real and the minimum in the transient signal corresponds to the population of an intermediate state. At the probe wavelength of 300 nm, the vertical  $^1\text{MLCT}$  transition from the LS( $^1\text{A}_1$ ) state has the highest extinction coefficient because this state has the shortest metal-ligand bond length, but as the quantum efficiency of reverse-LIESST is quite low and decreasing with increasing temperature, the amplitude of the plateau corresponding to its light-induced population is not very large. The initially excited state by the



**Figure 5.** Ultrafast transient absorption profiles for  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.1$ , at 125 K for a)  $\lambda_{\text{ex}} = 830\text{ nm}$  ( $12050\text{ cm}^{-1}$ ), and b)  $\lambda_{\text{ex}} = 530\text{ nm}$  ( $18800\text{ cm}^{-1}$ ), both monitored at 300 nm ( $33330\text{ cm}^{-1}$ ). In (b) the decomposition of the contributions to the transient signal is indicated schematically.

laser pulse is the  $^5\text{E}(t_{2g}^3 e_g^3)$  LF state. In the Franck–Condon state at time zero, its MLCT transition is expected to have an extinction coefficient in the range of the  $^5\text{MLCT}$  transition from the HS( $^5\text{T}_2$ ) state but to occur at lower energy (see Figures 1 and 2), that is, at around  $30000\text{ cm}^{-1}$ , and is thus monitored at the probe wavelength. It decays either via internal conversion back into the HS( $^5\text{T}_2$ ) state or to the intermediate state. Based on energetic and geometric considerations, the intermediate state of lower energy than the  $^5\text{E}$  state can only be the  $^3\text{T}_1(t_{2g}^5 e_g^1)$  LF state.<sup>[11]</sup> The transient signal at very short times thus corresponds to ESA from the hot  $^5\text{E}$  state and its fast decay to a combination of vibrational relaxation, internal conversion, and intersystem crossing. Only intersystem crossing will result in the transient ESA at the minimum, and this therefore corresponds to the  $^3\text{MLCT}$  absorption from the  $^3\text{T}_1$ , which is spin-allowed and has an extinction coefficient that is intermediate between those of the spin-allowed  $^5\text{MLCT}$  and  $^1\text{MLCT}$  bands from their respective LF states. The 39 ps are much longer than vibrational relaxation in the solid state, therefore the second step in the cascade occurs from the thermally relaxed intermediate state.

Figure 5b shows the kinetic trace as  $\Delta\text{OD}(t)$  at 300 nm following 100 fs irradiation of  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.1$ , at 530 nm, that is, into the spin-allowed  $^1\text{A}_1 \rightarrow ^1\text{T}_1$  LF transition of the LS state ( $\epsilon_{530} = 25\text{ M}^{-1}\text{ cm}^{-1}$ ). The ESA signal at  $t = 0$  is attributed to absorption from the hot  $^1\text{T}_1$  state. It decays with

a time constant  $\tau_1 < \text{IRF}$  of the setup, and is replaced by a negative signal. Bleaching must be attributed to the instantaneous depletion of the LS fraction from its equilibrium value of 15 % at 125 K, as the  $\text{LS}(^1\text{A}_1)$  state is the most strongly absorbing species present, except for absorption from the hot  $^1\text{T}_1$  state. A second, slower process with a time constant  $\tau_2 = 1.2(2)$  ps leads to a still more negative signal, which persists for the duration of the experiment and decays with the time constant of approximately 0.3 ms of the  $\text{HS} \rightleftharpoons \text{LS}$  relaxation at 125 K. Does the slower process indicate population of an intermediate state or does it correspond to vibrational relaxation in the HS state as the final state of the fast relaxation cascade? Given that 1) the  $^5\text{MLCT}$  intensity at the probe wavelength is much lower than the  $^1\text{MLCT}$  intensity, 2)  $^3\text{MLCT}$  intensities from triplet states are expected to be in between the two, and 3) the overall quantum efficiency of the light-induced  $\text{LS} \rightarrow \text{HS}$  conversion is high, it is more probable that the slower process indicates population of an intermediate state. However, the 1.2 ps, are much shorter than the 39 ps attributed to the lifetime of the  $^3\text{T}_1$  state in reverse-LIESST, and therefore this state cannot be the intermediate state for LIESST upon LF excitation. According to Ordejon et al.<sup>[11]</sup> direct  $^1\text{T}_1 \rightarrow ^5\text{T}_2$  intersystem crossing with  $\Delta S = 2$  is unlikely because of very weak spin-orbit coupling between these two states. But spin-orbit coupling in conjunction with vibronic coupling to a non-totally symmetric mode couples the higher-energy  $^3\text{T}_2$  state quite strongly, and indeed more strongly than the  $^3\text{T}_1$  state, to the  $^1\text{T}_1$  state.<sup>[11]</sup> The  $^3\text{T}_2$  state, in turn, is strongly coupled to the  $^5\text{T}_2$  state, and thus it is the natural candidate as the intermediate state for LIESST by LF excitation.

Do the above results verify the picture proposed in Ref. [4] or does it need modifying? Qualitatively the picture remains valid, the low-lying triplet LF states do play a role in the photophysical cycle of  $[\text{Fe}(\text{ptz})_6](\text{BF}_4)_2$ , and at least for reverse-LIESST, the double intersystem crossing is sequential. The individual quantum efficiencies, and possibly the branching ratio at the  $^3\text{T}_1$  state given in that reference may need to be revised. These were derived based on the observation of overall quantum efficiencies and the assumption that all intersystem crossing processes follow Fermi's Golden Rule, that is, that vibrational relaxation in each state is faster than its lifetime, and that therefore its fate is not influenced by the way it is populated. This is correct for the  $^3\text{T}_1$  state when populated with little excess energy by reverse-LIESST, that is, from the  $^5\text{E}$  state upon  $^5\text{T}_2 \rightarrow ^5\text{E}$  excitation. Its lifetime is longer than vibrational relaxation, and the branching ratio is expected to follow the semi-classical behavior of a non-adiabatic multi-phonon process occurring between two well-defined Born–Oppenheimer states,<sup>[12]</sup> as also found for the  $\text{HS} \rightarrow \text{LS}$  relaxation itself. The fact that the action spectrum for reverse-LIESST follows the  $^5\text{T}_2 \rightarrow ^5\text{E}$  absorption band,<sup>[4]</sup> indicates that the probabilities for the first step are likewise well-defined. This is no longer the case for irradiation into the spin-allowed  $^1\text{A}_1 \rightarrow ^1\text{T}_1$  LF transition of the LS species. The relaxation from the initially excited  $^1\text{T}_1$  to the  $^5\text{T}_2$  state is as fast as for excitation into the  $^1\text{MLCT}$  bands in the above-mentioned systems. Vibronic coupling between the excited states leads to a breakdown of the Born–Oppen-

heimer approximation. The electronic and the nuclear wave functions are strongly coupled during the process. The triplet state(s) cannot be identified as true intermediate states, they only serve as mediators dynamically mixed into the electronic function as the system evolves. For a full-scale study we will expand our investigations to different probe wavelengths in the UV, which will allow a more quantitative evaluation of the data, and we will study the temperature dependence of the relevant processes in the present system as well as related systems.

In conclusion, we have investigated the role of the LF states in the photophysical cycle of iron(II) spin-crossover complexes. As for irradiation into MLCT states, the intersystem crossing processes upon irradiation into LF states are ultrafast. These findings are of general importance for the photophysics of transition-metal compounds, for instance in comparison with the much studied chromium(III) complexes,<sup>[13]</sup> or for ruthenium(II) complexes being used in photovoltaic devices<sup>[14]</sup> or in cancer phototherapy.<sup>[15]</sup> With regard to the  $\text{Ru}^{\text{II}}$  complexes, it is interesting to note that in  $[\text{Ru}(\text{6-methyl-2,2'-bipyridine})_3]^{2+}$  the corresponding  $^3\text{T}_1$  state has recently been located as an intermediate state in the ultrafast quenching of the  $^3\text{MLCT}$  luminescence, the  $^3\text{T}_1$  state itself having a lifetime of 450 ps.<sup>[16]</sup>

## Experimental Section

$[\text{Fe}(\text{ptz})_6](\text{BF}_4)_2$  and  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.01$  and  $0.1$ , were prepared and hexagonal crystals (ca.  $3 \times 3 \times 0.2 \text{ mm}^3$ ) were grown as described in Ref. [17]. Variable-temperature single-crystal absorption spectra were recorded on a Cary 5000 spectrometer with the sample mounted on the cold finger of a closed cycle cryosystem (Janis-Sumitomo) capable of achieving temperatures down to 4 K. Irradiation experiments and  $\text{HS} \rightleftharpoons \text{LS}$  relaxation measurements on time-scales from nanosecond to days were performed as described in Ref. [4]. For reverse-LIESST experiments at  $T > 120 \text{ K}$ , 830 nm light from an OPO (Opotek-Magic Prism) pumped by the third harmonic of a Nd:YAG laser (Quantel Brilliant) was used. Ultrafast pump-probe experiments on a crystal of  $[\text{Zn}_{1-x}\text{Fe}_x(\text{ptz})_6](\text{BF}_4)_2$ ,  $x = 0.1$ , were performed as described in Ref. [8c] with 5  $\mu\text{J}$  pulse energy focused to ca. 200  $\mu\text{m}$  for pumping and  $< 0.1 \mu\text{J}$  focused to ca. 50  $\mu\text{m}$  for probing. The sample temperature was controlled with a  $\text{N}_2$  cryocooler (Oxford Instruments Cryojel). Data treatment involved iterative fitting of a double exponential test function convoluted with a Gaussian IRF function of 150 fs full-width at half maximum (FWHM).

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